



DOCKET NO.: C1037.70038US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Krieg et al.
Serial No.: 10/735,592
Confirmation No.: 2533
Filed: December 11, 2003
For: 5' CPG NUCLEIC ACIDS AND METHODS OF USE
Examiner: Nita M. Minnifield
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 18th day of July, 2006.

Emily E. Zukauskas
Emily E. Zukauskas

MAIL STOP AMENDMENT
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Information Disclosure Statement
- PTO Form 1449 with cited references
- Copy of International Search Report dated October 12, 2005
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

Helen C. Lockhart

Helen C. Lockhart, Ph.D., Reg. No. 39,248
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Telephone: (617) 646-8000

Docket No.: C1037.70038US01

Date: July 18, 2006

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Emily E. Zukauskas

MAIL STOP AMENDMENT

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P.O. Box 1450
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STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>	<u>Docket No.</u>
10/532,746	04-26-2005	Ahluwalia et al.	*C1037.70035US01
11/179,008	07-08-2005	Hartmann et al.	*C1039.70044US02
11/255,100	10-20-2005	Krieg et al.	*C1037.70059US01
11/301,360	12-09-2005	Bratzler et al.	*C1037.70013US02
11/361,313	02-24-2006	Krieg et al.	*C1037.70060US01
11/368,333	03-03-2006	Lipford et al.	*C1041.70037US01
11/368,334	03-03-2006	Krieg et al.	*C1039.70065US01
11/401,093	04-10-2006	Krieg et al.	*C1037.70062US01
11/411,975	04-26-2006	Uhlmann et al.	*C1041.70045US01

*A copy of this reference is not provided as the Office has waived the requirement under 37 C.F.R. 1.98(a)(2)(iii) for submitting a copy of a cited U.S. patent application if it is scanned to the Image File Wrapper system and is available on Private PAIR.

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

<u>Serial No.</u>	<u>Mailing Date</u>	<u>Type(s) of Communication</u>	<u>Docket No.</u>
PCT/US03/39775	October 12, 2005	International Search Report	C1037.70038WO00

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

Conf. No.: 2533

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:


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				FILING DATE: December 11, 2003	CONFIRMATION NO.: 2533
				APPLICANT: Krieg et al.	
				GROUP ART UNIT: 1645	EXAMINER: Nita M. Minnifield
Sheet 1 of 14	1	of	14		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
JUL 24 2006

U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	A103	3,906,092		Hilleman et al.	09-16-1975
	A104	5,087,617		Smith	02-11-1992
	A105	5,457,189		Crooke et al.	10-10-1995
	A106	5,475,096		Gold et al.	12-12-1995
	A107	5,514,577		Draper et al.	05-07-1996
	A108	5,576,208		Monia et al.	11-19-1996
	A109	5,576,302		Cook et al.	11-19-1996
	A110	5,582,986		Monia et al.	12-10-1996
	A111	5,663,153		Hutcherson et al.	09-02-1997
	A112	5,684,147		Agrawal et al.	11-04-1997
	A113	5,696,249		Gold et al.	12-09-1997
	A114	5,726,160		McMichael	03-10-1998
	A115	5,843,653		Gold et al.	12-01-1998
	A116	5,955,059		Gilchrest et al.	09-21-1999
	A117	5,968,909		Agrawal et al.	10-19-1999
	A118	5,977,340		Pirotzky et al.	11-02-1999
	A119	5,994,315		Nyce et al.	11-30-1999
	A120	6,025,339		Nyce et al.	02-15-2000
	A121	6,031,086		Switzer	02-29-2000
	A122	6,040,296		Nyce et al.	03-21-2000
	A123	6,090,791		Sato et al.	07-18-2000
	A124	6,121,434		Peyman et al.	09-19-2000
	A125	6,184,369	B1	Rando et al.	02-06-2001
	A126	6,221,882		Macfarlane	04-24-2001
	A127	6,225,292	B1	Raz et al.	05-01-2001
	A128	6,339,630		Macfarlane	06-04-2002
	A129	6,348,312		Peyman et al.	02-19-2002
	A130	6,426,334	B1	Agrawal et al.	07-30-2002
	A131	6,476,000	B1	Agrawal et al.	11-05-2002
	A132	6,479,504		Macfarlane et al.	11-12-2002
	A133	6,498,147	B1	Nerenberg et al.	12-24-2002
	A134	6,503,533	B1	Korba et al.	01-07-2003

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Include copy of this form with next communication to Applicant.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 2 of 14

APPLICATION NO.:	10/735,592	ATTY. DOCKET NO.:	C1037.70038US01
FILING DATE:	December 11, 2003	CONFIRMATION NO.:	2533
APPLICANT: Krieg et al.			
GROUP ART UNIT:	1645		EXAMINER: Nita M. Minnifield

A135	6,521,637		Macfarlane	02-18-2003
A136	6,558,670	B1	Friede et al.	05-06-2003
A137	6,605,708		Habus et al.	08-12-2003
A138	6,613,751	B1	Raz et al.	09-02-2003
A139	6,693,086	B1	Dow et al.	02-17-2004
A140	6,737,066	B1	Moss	05-18-2004
A141	6,821,957	B1	Krieg et al.	11-23-2004
A142	6,835,395	B1	Semple et al.	12-28-2004
A143	6,943,240		Bauer et al.	09-13-2005
A144	6,949,520		Hartmann et al.	09-27-2005
A145	7,001,890		Wagner et al.	02-26-2006
A146	2001-0021772	A1	Uhlmann et al.	09-13-2001
A147	2001-0046967	A1	Van Nest et al.	11-29-2001
A148	2002-0028784	A1	Van Nest et al.	03-07-2002
A149	2002-0042387	A1	Raz et al.	04-11-2002
A150	2002-0055477	A1	Van Nest et al.	05-09-2002
A151	2002-0086839	A1	Raz et al.	07-04-2002
A152	2002-0098199	A1	Van Nest et al.	07-25-2002
A153	2002-0102255	A1	Chang	08-01-2002
A154	2002-0107212	A1	Van Nest et al.	08-08-2002
A155	2002-0137714	A1	Kandamilla et al.	09-26-2002
A156	2002-0142977	A1	Raz et al.	10-03-2002
A157	2002-0142978	A1	Raz et al.	10-03-2002
A158	2002-0192184	A1	Carpentier et al.	12-19-2002
A159	2003-0022852	A1	Van Nest et al.	01-30-2003
A160	2003-0049266	A1	Fearon et al.	03-13-2003
A161	2003-0059773	A1	Van Nest et al.	03-27-2003
A162	2003-0060440	A1	Klinman et al.	03-27-2003
A163	2003-0064064	A1	Dina et al.	04-03-2003
A164	2003-0078223	A1	Raz et al.	04-24-2003
A165	2003-0092663	A1	Raz et al.	05-15-2003
A166	2003-0104044	A1	Semple et al.	06-05-2003
A167	2003-0119773	A1	Raz et al.	06-26-2003
A168	2003-0125284	A1	Raz et al.	07-03-2003
A169	2003-0129251	A1	Van Nest et al.	07-10-2003
A170	2003-0130217	A1	Raz et al.	07-10-2003

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A171	2003-0133988	A1	Fearon et al.	07-17-2003
A172	2003-0143213	A1	Raz et al.	07-31-2003
A173	2003-0147870	A1	Raz et al.	08-07-2003
A174	2003-0165478	A1	Sokoll et al.	09-04-2003
A175	2003-0175731	A1	Fearon et al.	09-18-2003
A176	2003-0176373	A1	Raz et al.	09-18-2003
A177	2003-0176389	A1	Raz et al.	09-18-2003
A178	2003-0199466	A1	Fearon et al.	10-23-2003
A179	2003-0212026	A1	Krieg et al.	11-13-2003
A180	2003-0212028	A1	Raz et al.	11-13-2003
A181	2003-0216340	A1	Van Nest et al.	11-20-2003
A182	2003-0224010	A1	Davis et al.	12-04-2003
A183	2003-0225016	A1	Fearon et al.	12-04-2003
A184	2003-0232780	A1	Carson et al.	12-18-2003
A185	2003-0232856	A1	Macfarlane	12-18-2003
A186	2004-0006010	A1	Carson et al.	01-08-2004
A187	2004-0006034	A1	Raz et al.	01-08-2004
A188	2004-0009942	A1	Van Nest et al.	01-15-2004
A189	2004-0013688	A1	Wise et al.	01-22-2004
A190	2004-0030118	A1	Wagner et al.	02-12-2004
A191	2004-0038922	A1	Haensler et al.	02-26-2004
A192	2004-0053880	A1	Krieg	03-18-2004
A193	2004-0058883	A1	Phillips et al.	03-25-2004
A194	2004-0067902	A9	Bratzler et al.	04-08-2004
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A196	2004-0087534	A1	Krieg et al.	05-06-2004
A197	2004-0087538	A1	Krieg et al.	05-06-2004
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A199	2004-0092472	A1	Krieg	05-13-2004
A200	2004-0097719	A1	Agrawal et al.	05-20-2004
A201	2004-0105872	A1	Klinman et al.	06-03-2004
A202	2004-0106568	A1	Krieg et al.	06-03-2004
A203	2004-0115219	A1	Ahn et al.	06-17-2004
A204	2004-0131628	A1	Bratzler et al.	07-08-2004
A205	2004-0132677	A1	Fearon et al.	07-08-2004
A206	2004-0132685	A1	Krieg et al.	07-08-2004

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A207	2004-0136948	A1	Fearon et al.	07-15-2004
A208	2004-0142469	A1	Krieg et al.	07-22-2004
A209	2004-0143112	A1	Krieg et al.	07-22-2004
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A212	2004-0152656	A1	Krieg et al.	08-05-2004
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A223	2004-0234512	A1	Wagner et al.	11-25-2004
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A228	2004-0247662	A1	Dow et al.	12-09-2004
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A236	2005-0031638	A1	Dalemans et al.	02-10-2005
A237	2005-0032734	A1	Davis et al.	02-10-2005
A238	2005-0032736	A1	Krieg et al.	02-10-2005
A239	2005-0037403	A1	Krieg et al.	02-17-2005
A240	2005-0037985	A1	Krieg et al.	02-17-2005
A241	2005-0043529	A1	Davis et al.	02-24-2005
A242	2005-0049215	A1	Krieg et al.	03-03-2005

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A243	2005-0049216	A1	Krieg et al.	03-03-2005
A244	2005-0054601	A1	Wagner et al.	03-10-2005
A245	2005-0054602	A1	Krieg et al.	03-10-2005
A246	2005-0059619	A1	Krieg et al.	03-17-2005
A247	2005-0059625	A1	Krieg et al.	03-17-2005
A248	2005-0059626	A1	Van Nest et al.	03-17-2005
A249	2005-0064401	A1	Olek et al.	03-24-2005
A250	2005-0070491	A1	Krieg et al.	03-31-2005
A251	2005-0075302	A1	Hutcherson et al.	04-07-2005
A252	2005-0100983	A1	Bauer et al.	05-12-2005
A253	2005-0101554	A1	Krieg et al.	05-12-2005
A254	2005-0101557	A1	Krieg et al.	05-12-2005
A255	2005-0119273	A1	Lipford et al.	06-02-2005
A256	2005-0123523	A1	Krieg et al.	06-09-2005
A257	2005-0130911	A1	Uhlmann et al.	06-16-2005
A258	2005-0130918	A1	Agrawal et al.	06-16-2005
A259	2005-0148537	A1	Krieg et al.	07-07-2005
A260	2005-0152921	A1	Kim et al.	07-14-2005
A261	2005-0158336	A1	Diamond et al.	07-21-2005
A262	2005-0159351	A1	Grate et al.	07-21-2005
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A264	2005-0169888	A1	Hartman et al.	08-04-2005
A265	2005-0171047	A1	Krieg et al.	08-04-2005
A266	2005-0176672	A1	Scheule et al.	08-11-2005
A267	2005-0181422	A1	Bauer et al.	08-18-2005
A268	2005-0182017	A1	Krieg	08-18-2005
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A270	2005-0196411	A1	Moss et al.	09-08-2005
A271	2005-0197314	A1	Krieg et al.	09-08-2005
A272	2005-0203039	A1	Jeon et al.	09-15-2005
A273	2005-0209183	A1	Kippenberger et al.	09-22-2005
A274	2005-0209184	A1	Klinman et al.	09-22-2005
A275	2005-0214355		Klinman et al.	09-29-2005
A276	2005-0215500	A1	Krieg et al.	09-29-2005
A277	2005-0215501	A1	Lipford et al.	09-29-2005
A278	2005-0233995	A1	Krieg et al.	10-20-2005

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A279	2005-0233999	A1	Krieg et al.	10-20-2005
A280	2005-0239732	A1	Krieg et al.	10-27-2005
A281	2005-0239733	A1	Jurk et al.	10-27-2005
A282	2005-0239734	A1	Uhlmann et al.	10-27-2005
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FORM PTO-1449/A and B (modified PTO/SB/08)				APPLICATION NO.: 10/735,592	ATTY. DOCKET NO.: C1037.70038US01
				FILING DATE: December 11, 2003	CONFIRMATION NO.: 2533
				APPLICANT: Krieg et al.	
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	C312	ZHAO et al., Modulation of oligonucleotide-induced immune stimulation by cyclodextrin analogs. Biochem Pharmacol. 1996 Nov 22;52(10):1537-44.	
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*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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